

Substitute for form 1449PTO

PTO/SB/08A/B 08-03)

Approved for use through 07/31/2006. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	13
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Complete if Known

Application Number	10/661,088
Filing Date	September 12, 2003
First Named Inventor	Andrew Vaillant et al.
Art Unit	1648
Examiner Name	Bo PENG
Attorney Docket Number	16051-9US CC

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number — Kind Code ² (if known)			
BP		US-5,563,050			
		US-6,506,559			
		US-5,023,252			
		US-5,580,859			
		US-4,806,463			
		US-5,248,670			
		US-5,591,720			
		US-5,952,490			
		US-5,998,602			
		US-6,184,369			
		US-5,264,423			
		US-5,276,019			
		US-6,316,190			
		US-5,218,103			
		US-5,684,148			
		US-5,452,496			
		US-5,278,302			
		US-5,750,666			
		US-5,602,244			
		US-5,508,270			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant Of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	†
		Country Code3 – Number4 – Kind Code5 (# known)				
BP ↓ ↓ ↓ ↓ ↓ ↓ ↓		WO 03/02903	.			
		WO 99/32619				
		WO 01/75164				
		WO 92/03051				
		WO 94/17093				
		WO 94/02499				
		WO 94/26764				
		WO 97/13499				


Examiner Signature	/Bo Peng/	Date Considered	01/17/2007
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is

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				First Named Inventor	Andrew Vaillant et al.
				Art Unit	1648
				Examiner Name	Bo PENG
Sheet	2	of	13	Attorney Docket Number	16051-9US CC

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BP 		US-4,469,863			
		US-5,610,289			
		US-5,256,775			
		US-5,366,878			
		US-5,476,925			
		US-5,023,243			
		US-5,130,302			
		US-5,177,198			
		US-5,378,825			
		US-5,386,023			
		US-5,489,677			
		US-5,264,562			
		US-5,264,564			
		US-5,223,618			
		US-5,770,713			
		US-5,543,152			
		US-4,426,330			
	US-4,534,899				
	US-5,705,188				
	US-5,013,556				

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		Country Code 3 – Number 4 – Kind Code 5 (if known)			
BP 		WO 90/04384			
		WO 97/30731			
		EP 0 496 813 B1			
		EP 0 445 131 B1			
		WO 91/05545			
		WO 94/20073			
		WO 96/10391			
		WO 98/39352			

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BP ↓ V		US-5,356,633			
		US-5,213,804			
		US-5,225,212			
		US-5,540,935			
		US-5,556,948			
		US-6,316,190			
		US-5,264,221			
		US-5,665,710			
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		US-5,514,788			
		US-5,652,355			
		US-6,143,881			
		US-6,346,614			
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	US-6,608,035				
	US-3,687,808				
	US-5,625,050				

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BP ↓ V		WO 99/14226			
		WO 96/40062			
		WO 97/04787			
		WO 04/02419			

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		US-6,573,099			
		US-6,013,240			
		US-5,837,449			
		US-6,177,246			
		US-5,670,634			
		US-2003/232435			
		US-2003/0119019 A1			
		US-2003/0162225 A1			

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
BP		ADJOU <i>et al.</i> , "A novel generation of heparan sulfate mimetics for the treatment of prion diseases", 2003, <i>J. Gen. Virol.</i> 84:2595-2603.	
		AGRAWAL, "Importance of nucleotide sequence and chemical modifications of antisense oligonucleotides", 1999, <i>Biochim. Biophys. Acta</i> 1489:53-68.	
		AGRAWAL and KANDIMALLA, "Antisense therapeutics: is it as simple as complementary base recognition?", 2000, <i>Mol. Med. Today</i> 6:72-81.	
		AKHTAR <i>et al.</i> , "The delivery of antisense therapeutics", 2000, <i>Advanced Drug Delivery Reviews</i> 44:3-21.	
		ALLAKHVERDI <i>et al.</i> , "Inhibition of Antigen-induced Eosinophilia and Airway Hyperresponsiveness by Antisense Oligonucleotides Directed against the Common β Chain of IL-3, IL-5, GM-CSF Receptors in a Rat Model of Allergic Asthma", 2002, <i>Am. J. Respir. Crit. Care Med.</i> 165:1015-1021.	
		ANDREOLA <i>et al.</i> , "DNA aptamers selected against the HIV-1 RNase H display in vitro antiviral activity", 2001, <i>Biochemistry</i> , 40:10087-10094.	
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		BALL <i>et al.</i> , "Clinical Potential of Respirable Antisense Oligonucleotides (RASONS) in Asthma", 2003, <i>Am. J. Pharmacogenomics</i> 3 (2):97-106.	
		BANKS <i>et al.</i> , "Delivery across the Blood-Brain Barrier of Antisense Directed against Amyloid β : Reversal of Learning and Memory Deficits in Mice Overexpressing Amyloid Precursor Protein", 2001, <i>J. Pharmacol. Exp. Ther.</i> 297 (3):1113-1121.	
		BARDOS <i>et al.</i> , "Structure-Activity Relationships and Mode of Action of 5-Mercapto-Substituted Oligo- and Polynucleotides as Antitemplates Inhibiting Replication of Human Immunodeficiency Virus Type 1", 1992, <i>Antimicrob. Agents and Chemother.</i> 36 (1):108-114.	

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BE		BARRET <i>et al.</i> , "Evaluation of Quinacrine Treatment for Prion Diseases", 2003, <i>J. of Virol.</i> 77 (15):8462-8469.	
		BATE <i>et al.</i> , "Squalastatin Cures Prion-infected Neurons and Protects Against Prion Neurotoxicity", 2004, <i>J. of Biol. Chem.</i> 279 (15):14983-14990.	
		BOUSSIF <i>et al.</i> , "A versatile Vector for Gene and Oligonucleotide Transfer into Cells in Culture and in vivo: Polyethylenimine", 1995, <i>Proc. Natl. Acad. Sci. USA.</i> 92 (16):7297-7301.	
		BRIGGER <i>et al.</i> , "Poly(ethylene glycol)-Coated Hexadecylcyanoacrylate Nanospheres Display a Combined Effect for Brain Tumor Targeting", 2002, <i>J. Pharmacol. Exp. Ther.</i> 303 (3):928-936.	
		CASPER, "Discovery of a Novel Target for Potential Cancer Therapy", Joint Bayer Science Forum – ACS November 24 th Dinner Meeting. 2003, The FILTERPAPER, Andy Edelbrock Bayer Corporation, page 3 (abstract).	
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		CHEN <i>et al.</i> , "Antisense Oligonucleotides Demonstrate a Dominant Role of c-Ki-RAS Proteins in Regulating the Proliferation of Diploid Human Fibroblasts", 1996, <i>J. Biol. Chem.</i> 271 (45):28259-28265.	
		CHEONG <i>et al.</i> , "Structure of influenza virus panhandle RNA studied by NMR spectroscopy and molecular modeling", 1999, <i>Nuc. Acids. Res.</i> 27 (5): 1392-1397.	
		CHIANG <i>et al.</i> , "Antisense Oligonucleotides Inhibit Intercellular Adhesion Molecule 1 Expression by Two Distinct Mechanisms", 1991, <i>J. Biol. Chem.</i> 266 (27):18162-18171.	
✓		CIOFFI <i>et al.</i> , "Selective Inhibition of A-Raf and C-Raf mRNA Expression by Antisense Oligodeoxynucleotides in Rat Vascular Smooth Muscle Cells: Role of A-Raf and C-Raf in Serum-Induced Proliferation", 1997, <i>Mol. Pharmacol.</i> 51:383-389.	

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
BP		CROOKE et al., "In Vitro Toxicological Evaluation of ISIS 1082, a Phosphorothioate Oligonucleotide Inhibitor of Herpes Simplex Virus", 1992, <i>Antimicrob. Agents Chemother.</i> 36 (3):527-532.	
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		DHEUR et al., "Polyethylenimine but Not Cationic Lipid Improves Antisense Activity of 3'-Capped Phosphodiester Oligonucleotides", 1999, <i>Antisense & Nucleic Acid Drug Development</i> , 9:515-525.	
		DOH-URA et al., "Treatment of Transmissible Spongiform Encephalopathy by Intraventricular Drug Infusion in Animal Models", 2004, <i>J. Virol.</i> 78 (10):4999-5006.	
		ELBASHIR et al., "RNA interference is mediated by 21- and 22-nucleotide RNAs", 2001, <i>Genes & Development</i> 15:188-200.	
		ELBASHIR et al., "Duplexes of 21-nucleotide RNAs mediate RNA interference in cultured mammalian cells", 2001, <i>Nature</i> 411:494-498.	
		FINOTTO et al., "Local administration of antisense phosphorothioate oligonucleotides to the c-kit ligand, stem cell factor, suppresses airway inflammation and IL-4 production in a murine model of asthma", 2001, <i>J. Allergy Clin. Immunol.</i> 107 (2):279-286.	
		FISET et al., "Modulation of allergic response in nasal mucosa by antisense oligodeoxynucleotides for IL-4", 2003, <i>J. Allergy Clin. Immunol.</i> 111 (3):580-586.	
✓		GARRETT et al., "In vivo use of oligonucleotides to inhibit choroidal neovascularisation in the eye", 2001, <i>J. Gene Med.</i> 3:373-383.	

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BP		GORLACH <i>et al.</i> , "Antisense repression in <i>Cryptococcus neoformans</i> as a laboratory tool and potential antifungal strategy", 2002, <i>Microbiology</i> 148:213-219.	
		GRIGORIEV <i>et al.</i> , "Effects of the polyene antibiotic derivative MS-8209 on the astrocyte lysosomal system of scrapie-infected hamsters", 2002, <i>J. Mol. Neurosci.</i> 18:271-281.	
		HARBOTH <i>et al.</i> , "Identification of essential genes in cultured mammalian cells using small interfering RNAs", 2001, <i>Journal of Cell Science</i> 114 (24) 4557-4565.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/661,088
				Filing Date	September 12, 2003
				First Named Inventor	Andrew Vaillant et al.
				Art Unit	1648
				Examiner Name	Bo PENG
				Attorney Docket Number	16051-9US CC
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NON PATENT LITERATURE DOCUMENTS			
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BP		KOSTER <i>et al.</i> , "Emerging therapeutic agents for transmissible spongiform encephalopathies: a review", 2003, <i>J. Vet Pharmacol. Ther.</i> 26:315-26.	
		KURRECK, "Antisense technologies. Improvement through novel chemical modifications", 2003, <i>Eur. J. Biochem.</i> 270:1628-1644.	
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BP		MOU and GRAY, "The high binding affinity of phosphorothioate-modified oligomers for Ff gene 5 protein is moderated by the addition of C-5 propyne or 2'-O-methyl modifications", 2002, <i>Nucleic Acids Res.</i> 30 (3):749-758.	
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		PEREZ et al., "Sequence-independent induction of Sp1 transcription factor activity by phosphorothioate oligodeoxynucleotides", 1994, <i>Proc. Natl. Acad. Sci. USA</i> 91:5957-5961.	
✓		POLI et al., "In vitro Evaluation of the Anti-prionic Activity of Newly Synthesized Congo Red Derivatives", 2003, <i>Arzneim.-Forsch./Drug Res.</i> 53 (12):875-888.	

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		SIERAKOWSKA <i>et al.</i> , "Repair of thalassemic human β -globin mRNA in mammalian cells by antisense oligonucleotides", 1996, <i>Proc. Natl. Acad. Sci. USA</i> 93:12840-12844.	

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		VINOGRADOV <i>et al.</i> , "Nanogels for Oligonucleotide Delivery to the Brain", 2004, <i>Bioconjug. Chem.</i> 15:50-60.	
		WANG <i>et al.</i> , "Sequence-independent Inhibition of In Vitro Vascular Smooth Muscle Cell Proliferation, Migration, and In Vivo Neointimal Formation by Phosphorothioate Oligodeoxynucleotides", 1996, <i>J. Clin. Invest.</i> 98 (2):443-450.	
		WHITE <i>et al.</i> , "Inhibition of the Multiple Antibiotic Resistance (<i>mar</i>) Operon in <i>Escherichia coli</i> by Antisense DNA Analogs", 1997, <i>Antimicrobial Agents and Chemotherapy</i> 41 (12):2699-2704.	
		WHITE <i>et al.</i> , "Development of novel methods for delivering therapeutic oligonucleotides to the central nervous system", 2003, <i>Society for Neuroscience</i> , Program #325.5, Abstract.	
✓		WHITE <i>et al.</i> , "Antisense oligonucleotide treatments for psoriasis", 2004, <i>Expert. Opin. Biol. Ther.</i> 4(1):75-81.	

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BP		XU et al., "Inhibition of DNA Replication and Induction of S Phase Cell Cycle Arrest by G-rich Oligonucleotides", 2001, <i>The Journal of Biological Chemistry</i> 276 (46):43221-43230.	
		YANG et al., "Construction and selection of bead-bound combinatorial oligonucleoside phosphorothioate and phosphorodithioate aptamer libraries designed for rapid PCR-based sequencing", 2002, <i>Nucl. Acids Res.</i> 30 (23):1-8.	
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		ZHANG et al., "The Study on Brain Targeting of the Amphotericin B Liposomes", 2003, <i>J. Drug. Target.</i> 11 (2):117-122.	
		ZHANG et al., "Global Non-Viral Gene Transfer to the Primate Brain Following Intravenous Administration", 2003, <i>Mol. Ther.</i> 7 (1):11-18.	
✓		<i>The Concise Encyclopedia of Polymer Science and Engineering</i> , Jacqueline I. Kroschwitz, 1998, ISBN: 0-471-31856-6, 1341 pages, pp. 858-859.	

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